

## GT CAPITAL RESPONSIBLE INVESTMENT POLICY

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Taking off from its mission statement *“to ensure long-term value for our stakeholders by creating a synergistic business portfolio contributing to our nation's sustainable development”*, GT Capital Holdings, Inc. established its Responsible Investment Policy, which incorporates Environmental, Social, and Governance (ESG) considerations in its investment decision-making process and active ownership. This policy was carefully considered by the company's Senior Management and was approved by its Board of Directors, given that this is very much consistent with the legacy of its Founding Chairman, Dr. George S.K. Ty. It is the embodiment of the corporate DNA and principles fit for an investment holding company, whose principal business involves investments and portfolio management.

As a steward of capital, GT Capital is committed to creating long-term stakeholder value, not only to its capital providers, but also to the communities and environments in which it operates. The company is also committed to contribute to the nation's sustainable development through business management, investment decisions, and future business development, rooted in its corporate values of Integrity, Excellence, Respect, and Sustainable Value Creation.

The purpose of the Responsible Investment Policy is to articulate and institutionalize the **Commitments to Responsible Investment Principles** of GT Capital. The commitments govern our investment decisions by ensuring ESG factors are considered in investment decisions, leading to better risk management and ensuring stakeholders of our ability to generate sustainable long-term returns.

It is also timely that we established this policy, given that it is consistent with the standards that institutional investors and ESG rating agencies consider as an essential management principle.

### **GT Capital's Commitment to Responsible Investment Principles**

To achieve our vision and fulfill our mission, the following guiding principles shall provide a general framework to our investment principles:

- Commitment to shareholders
- Commitment to integrate ESG factors in our investment process
- Commitment to engage with our component companies on ESG matters
- Commitment to relevant, reliable, and transparent ESG disclosures for our stakeholders and investors
- Commitment to exclusion based on the company's activities

#### **Commitment to shareholders**

Our commitment is grounded on protecting the long-term interest of our shareholders by ensuring sustainable investments towards sustainable returns. GT Capital is committed to investing in companies that are well-managed and which consider long-term risks and opportunities related to ESG issues, which we expect shall outperform steadily over the long-term and provide steady returns for our capital shareholders.

#### **Commitment to integrate ESG factors in the investment process**

For new investments, the company shall integrate ESG principles in its investment analysis and strategic decision-making process guided by the following:

- ✓ The achievement of GT Capital's vision and aspiration, its pursuit of its mission, and alignment with its core values.

- ✓ Commitment to the nation's sustainable development by assessment of the investment's potential to contribute to the United Nations' Sustainable Development Goals (SDGs) and alignment with GT Capital's Material Topics.
- ✓ Focus on strategic partnerships that lead to a synergistic achievement of the holding company and component companies' ESG Goals.
- ✓ Consideration of the investment's ESG risk factors and incorporating them into the holding company's general risk management and monitoring processes.

### **Commitment to engage with our component companies on ESG matters**

GT Capital endeavors to jointly create a more sustainable economy towards the achievement of each component company's ESG goals.

### **Commitment to relevant, reliable, and transparent ESG disclosures for our stakeholders**

GT Capital shall actively engage its component companies on ESG, as part of its annual sustainability reporting. In addition, GT Capital aspires to emulate best practices in achieving relevant, reliable, and transparent ESG disclosures.

### **Commitment to exclusion based on the company's activities**

GT Capital Holdings, Inc. will refrain from investing directly in companies or entities whose products or activities are harmful or exploitative.

GT Capital is committed to planting the seeds today that will result in the creation of sustainable stakeholder value in the future. We believe that taking a long-term and sustainable perspective is essential to contributing to the nation's sustainable development.