



October 7, 2020

## SECURITIES AND EXCHANGE COMMISSION

G/F Secretariat Building PICC Complex, Roxas Boulevard Manila, 1307

Attention : Mr. Gerardo F. Del Rosario

Director

Company Registration and Monitoring Department

Dear Director Del Rosario,

We hereby submit the Amended General Information Sheet of GT Capital Holdings, Inc. ("GTCAP" or the "Corporation") for the year 2020 reflecting the changes as underlined therein.

Very Truly Yours,

Corporate Secretary

#### AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2020

#### STOCK CORPORATION

#### GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
- 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
- 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

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CORPORATE NAME:	DATE REGISTERED:			
GT CAPITAL				
BUSINESS/TRADE NAME:	26-Jul-07			
	FISCAL YEAR END:			
GT CAPITAL		FISCAL TEAR END.		
SEC REGISTRATION NUMBER:				
CS200	0711792			December 31
DATE OF ANNUAL MEETING PER BY-LAWS:				CORPORATE TAX IDENTIFICATION
	Second Wednesday of Ma	y		NUMBER (TIN)
				204 204 245
ACTUAL DATE OF ANNUAL MEETING:	<del></del>			006-806-867
	I 20			WEBSITE/URL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS:	Jun-20			www.gtcapital.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS:				E-MAIL ADDRESS:
43rd Floor GT Tower International, 6813 Ayala Av	enue corner H.V. Dela Co	sta Street,	1227 Makati City	gtcap@gtcapital.com
COMPLETE BUSINESS ADDRESS:				FAX NUMBER:
43rd Floor GT Tower International, 6813 Ayala Av	venue corner H.V. Dela Co	sta Street	1227 Makati City	(632) 8836-4159
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:		SEC ACCRED	DITATION NUMBER (if applicable):	TELEPHONE NUMBER(S):
Sycip Gorres Velayo & Co./ Miguel U. Ballel	los, Jr.			
	385	N	o. 1566-A (Group A)	(632) 8836-4500
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY EN	GAGED IN:	INDUSTR	Y CLASSIFICATION:	GEOGRAPHICAL CODE:
Holding Company				
Holding Company				
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PARENT COMPANY	SEC REGISTRATION			DDDCC
		INO.		DRESS 13 Ayala Ave., cor. H.V. Dela Costa
Grand Titan Capital Holdings, Inc.	CS200712456 SEC REGISTRATION		Street, 12	27 Makati City
SUBSIDIARY/AFFILIATE	DRESS			
See Attached Annex "A"	ned Annex "A"			
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NOT	E: USE ADDITIONAL SHEET I	F NECESSAF	RY	VV 83

d. Professional Reinsurers e. Reinsurance Brokers f. Holding Companies g. Holding Companies j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)  3.  a. Securities Dealers b. Securities Brokers c. Securities Salesman d. Investment Houses e. Investment Houses g. Other entities aministering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering of otherwise dealing in valuable objects n. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cans Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons or arangistered office, business address or accommodation, correspondence or administrative address for a company, a partnership, or a similar encommence or administrative address for a company, a partnership or any other legal person or arrangement  d. d. acting as (or arranging for another person to act as) a nominee shareholder for another person to act as a nominee shareholder for another person or arrangement of lowing services:  d. d. acting as (or arranging for another person to act as) a nominee shareholder for another person or arrangement of lowing services:  d. d. acting as (or arranging for another person to act as) a nominee shareholder for another person or arrangement of lowing services:  d. d. acting as (or arranging for another person to act as) a nominee shareholder for another person or arrangement of lowing services:  d. d. acting as (or arranging for another person to accommodities or fancties and encounts and person or arrangement of companies and other similar entities  d. c. organization	GENERAL INFORMATION	SHEET
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j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)  3.  a. Securities Dealers b. Securities Brokers c. Securities Salesman  d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  a. Acting as (or arranging for another person to act as) a nominee shareholder for another person or 7. Persons who provide any of the following services: a. managing of client money, securities or other assets b. b. management of bank, savings or securities accounts c. organization of contributions for the creation, operation or management of companies d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities  d. creation, operation or management of puridical persons or arrangements.  B. ✓ None of the above  Describe nature of business:  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports		
legal person or arrangement		
3.    a. Securities Dealers   b. Securities Brokers   c. Securities Salesman   7. Persons who provide any of the following services:   d. Investment Houses   e. Investment Agents and Consultants   f. Trading Advisors   g. Other entities managing Securities or rendering similar services   h. Mutual Funds or Open-end Investment Companies   j. Common Trust Funds or Issuers and other similar entities   l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on   m. Entities administering or otherwise dealing in valuable objects   n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)    B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports    d. acting as (or arranging for another person to act as) a nominee shareholder for another person of act as) a nominee shareholder for another person of a chost of the following services:    d. acting as (or arranging for another person to act as) a nominee shareholder for another person of the above     a. managing of client money, securities or other assets   b. management of bank, savings or securities accounts   operation or contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities     d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities     d. creation, operation or management of bank, savings or securities accounts     d. creation, operation or management of persons or arrangements, and buying and selling persons or arrangements, and buying and selling persons or arrangements, and buying and selling obscincts     d. creation, operation or management of business entities     d. creation, operation or business entities     d. creation, ope		
a. Securities Dealers   b. Securities Brokers   c. Securities Brokers   d. Investment Houses   e. Investment Agents and Consultants   f. Trading Advisors   g. Other entities managing Securities or rendering similar services   h. Mutual Funds or Open-end Investment Companies   j. Common Trust Funds or Issuers and other similar entities   k. Transfer Companies and other similar entities   l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on   m. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)    d. acting as (or arranging for another person to act as) a nominee shareholder for another person of a nominee shareholder for another person of 7. Persons who provide any of the following services:   a. managing of client money, securities or other assets   b. management of bank, savings or securities accounts   oc. organization of contributions for the creation, operation or management of companies   d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities   d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities   l. Other entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)   Describe nature of business:   Ploding Company   Holding Company   Ploding Com		legal person of arrangement
b. Securities Brokers c. Securities Salesman  c. Securities Salesman  7. Persons who provide any of the following services:  d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  3. managing of client money, securities or other assets c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  4. creation, operation or management of juridical persons or arrangements, and buying and selling business entities  8. None of the above  Describe nature of business:  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports		
C. Securities Salesman  d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering of otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  7. Persons who provide any of the following services: a. managing of client money, securities or other assets b. management of bank, savings or securities accounts operation or management of companies d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities  8. ✓ None of the above Describe nature of business:  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports		
d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies i. Close-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering of otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports		a nonninee shareholder for another person
e. Investment Agents and Consultants  f. Trading Advisors  g. Other entities managing Securities or rendering similar services  h. Mutual Funds or Open-end Investment Companies  i. Close-end Investment Companies  j. Common Trust Funds or Issuers and other similar entities  k. Transfer Companies and other similar entities  l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on  m. Entities administering or otherwise dealing in valuable objects  n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  b. management of bank, savings or securities accounts operation of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  8. ✓ None of the above  Describe nature of bank, savings or securities accounts operation or management of bank, savings or securities accounts operation or management of bank, savings or securities accounts operation or management of companies  c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  8. ✓ None of the above  Describe nature of business:  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports	c. Securities Salesman	7. Persons who provide any of the following services:
f. Trading Advisors  g. Other entities managing Securities or rendering similar services  h. Mutual Funds or Open-end Investment Companies  i. Close-end Investment Companies  j. Common Trust Funds or Issuers and other similar entities  k. Transfer Companies and other similar entities  l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on  m. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  b. management of bank, savings or securities accounts  c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  None of the above  Describe nature of bank, savings or securities accounts  b. management of bank, savings or securities accounts  c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports		a. managing of client money, securities or other assets
g. Other entities managing Securities or rendering similar services   h. Mutual Funds or Open-end Investment Companies   c. organization of contributions for the creation, operation or management of companies   c. organization of contributions for the creation, operation or management of companies   c. organization of contributions for the creation, operation or management of companies   d. creation, operation or management of juridical persons or arrangements, and buying and selling persons or arrangements, and buying and selling business entities   m. Entities administering of otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)   Mone of the above   Holding Company nature of business:   Yes No		
h. Mutual Funds or Open-end Investment Companies i. Close-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering of otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  c. organization of contributions for the creation, operation or management of juridical persons or arrangements, and buying and selling business entities  8. ✓ None of the above Describe nature of business:  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports	[]	6. management of bank, savings or securities accounts
i. Close-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  operation or management of companies d. creation, operation or management of puridical persons or arrangements, and buying and selling business entities  Describe nature of business:  Holding Company nature of business:		a arganization of contributions for the greation
j. Common Trust Funds or Issuers and other similar entities  k. Transfer Companies and other similar entities  l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on  m. Entities administering of otherwise dealing in valuable objects  n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities  B. ✓ None of the above  Describe nature of business:  Holding Company  nature of business:		177. TO 177. T
k. Transfer Companies and other similar entities       □ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities         □ m. Entities administering of otherwise dealing in valuable objects       ■ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)       ■ None of the above         B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports       ○ Yes       No		operation of management of companies
<ul> <li>□ 1. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li>□ m. Entities administering of otherwise dealing in valuable objects</li> <li>□ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> <li>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports</li> </ul>		d. creation, operation or management of juridical
commodities or financial derivatives based there on  m. Entities administering of otherwise dealing in valuable objects  n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  business entities  None of the above  Describe nature of business:		
n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  Holding Company nature of business:  Yes		
other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)  B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  Testing 1	m. Entities administering of otherwise dealing in valuable objects	8. V None of the above
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  Yes  No	n. Entities administering or otherwise dealing in cash Substitutes and	Describe Holding Company
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports  (Yes No		nature of
(CDD) or Know Your Customer (KYC), record-keeping, and submission of reports (*Yes No	regulated by the Securities and Exchange Commission (SEC)	business:
(CDD) or Know Your Customer (KYC), record-keeping, and submission of reports (*Yes No		
		The second secon
under the AMLA, as amended, since the last filing of its GIS?	(CDD) or Know Your Customer (KYC), record-keeping, and subsuinder the AMLA, as amended, since the last filing of its GIS?	mission of reports Yes * No

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STOCK CORPORATION

CORPORATE NAME: GT CAPITAL HOLDINGS, INC. CAPITAL STRUCTURE AUTHORIZED CAPITAL STOCK NUMBER OF AMOUNT (PhP) TYPE OF SHARES \* PAR/STATED VALUE SHARES (No. of shares X Par/Stated Value) Common 298,257,000 10.00 2,982,570,000.00 Perpetual Preferred 20,000,000 100.00 2,000,000,000.00 Voting Preferred 174,300,000 0.10 17,430,000.00 TOTAL 492,557,000 TOTAL P 5,000,000,000.00 SUBSCRIBED CAPITAL NUMBER OF NO. OF NUMBER OF SHARFS IN THE PAR/STATED % OF FILIPINO STOCK-**TYPE OF SHARES\*** AMOUNT (PhP) SHARES HANDS OF THE VALUE OWNERSHIP HOLDERS PUBLIC \*\* Common 81 157,835,522 36,737,733 10.00 1,578,355,220.00 73.31% 167 Voting Preferred 0.10 172,974,064 1,582,365 17,297,406.40 99.24%\_ Preferred Series A 9 4,808,510 4,805,610 99.36% 100.00 480,851,000.00 Preferred Series B 12 7,023,685 7,022,185 100.00 702,368,500.00 98.10% TOTAL 342,641,781 TOTAL TOTAL P 2,778,872,126.40 85.32% NUMBER OF FOREIGN NO. OF NUMBER OF SHARES IN THE PAR/STATED % OF (INDICATE BY STOCK-TYPE OF SHARES \* AMOUNT (PhP) SHARES HANDS OF THE VALUE **OWNERSHIP** NATIONALITY) HOLDERS **PUBLIC\*\*** American Common 1,301 1,301 10.00 13,010.00 0.001% Common French 1,780 1,780 10.00 17,800.00 0.001% PCD Nominee Common 57,445,984 57,445,984 10.00 574,459,840.00 26.68%\_ Voting Preferred (Non-Filipino) 18 1,325,936 1,325,936 0.10 132,593.60 0.76% Preferred Series A 30,730 3,073,000.00 1 30,730 100.00 0.63% Preferred Series B 137,075 137,075 13,707,500.00 100.00 1.91% Percentage of Foreign Equity: TOTAL 58,942,806 TOTAL TOTAL 591,403,743.60 14.68% TOTAL SUBSCRIBED P 3,370,275,870.00 100% PAID-UP CAPITAL NO. OF NUMBER OF % OF **TYPE OF SHARES\*** FILIPINO STOCK-PAR/STATED VALUE AMOUNT (PhP) SHARES **OWNERSHIP HOLDERS** 81 Common 157,835,522 10.00 1,578,355,220.00 73.31% Voting Preferred 172,974,064 167 0.10 17,297,406.40 99.24%\_ 9 Preferred Series A 4,808,510 100.00 480,851,000.00 99.36% 12 Preferred Series B 100.00 7,023,685 702,368,500.00 98.10% TOTAL 342,641,781 TOTAL 2,778,872,126.40 85.32% FOREIGN NO. OF NUMBER OF % OF (INDICATE BY STOCK-**TYPE OF SHARES\*** PAR/STATED VALUE AMOUNT (PhP) **SHARES** OWNERSHIP HOLDERS NATIONALITY) 0.001% Common 10.00 American 3 1,301 13,010.00 1,780 10.00 0.001% French Common 17,800.00 PCD Nominee Common 10.00 1\_\_\_\_ 57,445,984 26.68% 574,459,840.00 1,325,936 \_\_18\_\_ Voting Preferred (Non-Filipino) 0.10 132,593.60 0.76% 1\_\_\_ Preferred Series A 0.63% 100.00 30,730 3,073,000.00 \_\_\_\_\_ Preferred Series B 2 137,075 100.00 13,707,500.00 1.91% 14.68 % TOTAL 58,942,806 TOTAL P 591,403,743.60 14.68% **TOTAL PAID-UP** P 3,370,275,870.00 100%

NOTE: USE ADDITIONAL SHEET IF NECESSARY						
* Common, Preferred or other classification						
* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.						

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STOCK CORPORATION

CORPORATE NAME:	GT CAPITAL H	OLDING	S, INC.	V	and may			
	DIR	ECTOF	RS / 0	FFICER	S			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. Arthur Vy Ty	Filipino	Y	С	М	Y	Chairman	N/A	
2. Alfred Vy Ty	Filipino	Y	М	М	Y	Co-Vice Chairman	C/M	
3. Francisco C. Sebastian	Filipino	N	М	М	Y	Co-Vice Chairman	N/A	
4. Carmelo Maria Luza Bautista	Filipino	N	М	М	Y	President	N/A	
5. Renato C. Valencia	Filipino	N	I	М	Y	Lead Independent Director	A/M C/M N/C	
6. Jaime Miguel G. Belmonte	Filipino	N	I	М	Y	N/A	C/C	
7. Wilfredo A. Paras	Filipino	N	I	М	Y	N/A	A/C N/M	
8. Rene J. Buenaventura	Filipino	N	I	М	Y	N/A	A/M N/M	
9. David T. Go	Filipino	N	М	М	Y	N/A	N/A	
10. Regis V. Puno	Filipino	N	М	М	Y	N/A	A/M	
11. Alesandra T. Ty	Filipino	N	М	F	Υ	Director/ Assistant Treasurer	N/A	
12. Anjanette Ty Dy Buncio	Filipino	N	N/A	F	Y	Treasurer	N/A	
13. Antonio V. Viray	Filipino	N	N/A	М	N	Corporate Secretary	N/A	
14. Jocelyn Y. Kho	Filipino	N	N/A	F	Y	Assistant Corporate Secretary	N/A	
15. Francisco H. Suarez, Jr.	Filipino	N	N/A	М	Y	EVP/Chief Financial Officer		

### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

STOCK CORPORATION

ORPORATE NAME:	GT CAPITAL H	OLDING	S, INC.	•		•		
	DIR	ЕСТОБ	RS / 0	FFICER	R S			
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
6. Vicente Jose S. Socco	Filipino	N	N/A	М	N	Executive Vice President	N/A	V
7. Jose B. Crisol, Jr.	Filipino	N	N/A	М	Y	SVP/ Head Investor Relations	N/A	
8. Winston Andrew L. Peckson	Filipino	N	N/A	М	Y	FVP/ Chief Risk Officer	N/A	
9. Reyna Rose P. Manon-Og	Filipino	N	N/A	F	Y	FVP/ Controller/ Head Acctg.	N/A	
20. Susan E. Cornelio	Filipino	N	N/A	F	N	VP/ Head Human Resources	N/A	
21. Elsie D. Paras	Filipino	N	N/A	F	Y	VP/Head Corporate Finance	N/A	
22. Leo Paul C. Maagma	Filipino	N	N/A	М	N	VP/Chief Audit Executive	N/A	
23. Renee Lynn Miciano-Atienza	Filipino	N	N/A	F	Y	VP/Head Legal & Compliance	N/A	

#### INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

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STOCK CORPORATION

		PLEASE PRIN	T LEGIBLY =====			
CORPORATE NAME:	GT CAPITAL	HOLDINGS, INC.			·	
TOTAL NUMBER OF STOCKHOLDERS:	86	N	O. OF STOCKHOLDERS WITH 100	OR MORE SHARES	EACH:	71
TOTAL ASSETS BASED ON LATEST AUDITED FI	NANCIAL STATE	MENTS: 2	200,339,750,654 (2019	Audited Fir	ancial Statement - Pa	rent)
,,	ST	OCKHOLDER'S	INFORMATION		S PROPERTY LISTS	
		SHARES S	UBSCRIBED			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
1 Grand Titan Capital Holdings, Inc.	Common	120,413,658	1,204,136,580.00	55.93%		
Filipino	Preferred	54,899,406	5,489,940.60	31.50%	1 200 626 520 60	
	TOTAL	175,313,064	1,209,626,520.60		1,209,626,520.60	
2. PCD Nominee	Common	57,445,984	574,459,840.00	26.68%		
Non-Filipino	Series A	30,730	3,073,000.00	0.635%		
	Series B	134,875	13,487,500.00	2.00%	591,020,340.00	
	TOTAL	57,611,589	591,020,340.00	5175-2		
3. PCD Nominee	Common	36,727,330	367,273,300.00	17.06%		
Filipino	Series A	4,771,410	477,141,000.00	98.60%		
	Series B	6,977,945	697,794,500.00	97.34%	1,542,208,800.00	
	TOTAL	48,476,685	1,542,208,800.00			
4. Nove Ferum Holdings, Inc.	Preferred	47,261,757	4,726,175.70	27.12%		
Filipino					4,726,175.70	
		45.044.555	1 506 155 50		4,720,173.70	
	TOTAL	47,261,757	4,726,175.70			
5. 82 Alpha Holdings Corporation	Preferred	39,594,789	3,959,478.90	22.72%		
Filipino					3,959,478.90	
	TOTAL	39,594,789	3,959,478.90			
( Ni Physical Haldings Councies	TOTAL Preferred	13,299,452	1,329,945.20			
6. Nieman Rhodes Holdings Corporation	Freierred	13,277,432	1,329,943.20	7.63%		
Filipino			430,000	1	1,329,945.20	
	TOTAL	13,299,452	1,329,945.20	-		
7. Philippine Geiko Holdings Inc.	Preferred	13,299,452	1,329,945.20	7.63%	<del> </del>	
Filipino				1		
none Facility				1	1,329,945.20	
	TOTAL	13,299,452	1,329,945.20			
TOTAL AMOUNT	OF SUBSCRIBE	D CAPITAL				• 10 100 10 100 100 100 100 100 100 100
		TOTAL AMO	UNT OF PAID-UP C	APITAL	7	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

=======================================		PLEASE PRIN	IT LEGIBLY =====	======	=========	=====
CORPORATE NAME:	GT CAPITAL I	HOLDINGS, INC.	_			
TOTAL NUMBER OF STOCKHOLDERS:	86	N	O. OF STOCKHOLDERS WITH 100 (	71		
TOTAL ASSETS BASED ON LATEST AUDITED FI	Audited Fin	ancial Statement - Pa	rent)			
	ST	OCKHOLDER'S	INFORMATION			
	T	SHARES S	UBSCRIBED			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТҮРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
8. George S.K. Ty	Common	223,560	2,235,600.00	0.104%		
Filipino	Preferred	360,224	36,022.40	0.207%	2,271,622.40	
	TOTAL	583,784	2,271,622.40		2,271,022.40	
9. Arthur Vy Ty	Common	111,780	1,117,800.00	0.052%		
Filipino	Preferred	180,112	18,011.20	0.103%	1,135,811.20	
	TOTAL	291,892	1,135,811.20		1,135,811.20	
10. Alfred Vy Ty	Common	111,780	1,117,800.00	0.052%		140
Filipino	Preferred	180,112	18,011.20	0.103%		
	TOTAL	291,892	1,135,811.20	3 SCHOOLSTON 34 MAR	1,135,811.20	
Ad Mary Vis Tra	Common	110,662	1,106,620.00	0.051%		
11. Mary Vy Ty	Preferred	2,314,095	231,409.50	1.330%		
Filipino	Treferred			1.550 /0	1,338,029.50	
	TOTAL	2,424,757	1,338,029.50			
12. Bloomingdale Enterprises, Inc.	Common	34,261	342,610.00	0.016%		
Filipino				1	242 (10 00	
				1	342,610.00	
	TOTAL	34,261	342,610.00			
13. Salud D. De Castro	Common	21,603	216,030.00	0.010%		
Filipino	Preferred	30,000	3,000.00	0.017%	219,030.00	
	momax	F1 (02	219,030.00	-		
	TOTAL	51,603				
14. United Life Assurance	Common	11,178	111,780.00	0.005%		
Filipino				-	111,780.00	
	TOTAL	11,178	111,780.00	D C		
TOTAL AMOUNT	OF SUBSCRIB	ED CAPITAL				
		TOTAL AMO	OUNT OF PAID-UP C	APITAL		

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

## GENERAL INFORMATION SHEET STOCK CORPORATION

CORPORATE NAME:	GT CAPITAI	L HOLDINGS, INC.				
OTAL NUMBER OF STOCKHOLDERS:	86	NO	OF STOCKHOLDERS WITH 100 OR N	MORE SHARES EACH:		71
OTAL ASSETS BASED ON LATEST AUDITED FS:	200,339,7	50,654 (2019 Au	dited Financial Stat	ement - Pa	rent)	
	S	TOCKHOLDER'S II	NFORMATION			-
		SHARES S	UBSCRIBED			
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	ТУРЕ	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
15. Josephine T. Chua	Common	9,371	93,710.00	0.004%		
Filipino						
					93,710.00	
	TOTAL	9,371	93,710.00			
16. Asuncion C. Chan	Common	6,707	67,070.00	0.003%		
Filipino	Preferred	6,000	600.00	0.003%		
					67,670.00	
	TOTAL	12,707	67,670.00			
17. Anita C. Choi	Common	4,471	44,710.00	0.002%		
Filipino	Preferred	4,000	400.00	0.002%		
					45,110.00	
	TOTAL	8,471	45,110.00			
18. Peter Mar or Annabelle C. Mar	Common	3,353	33,530.00			
Filipino						
				0.002%	33,530.00	
	TOTAL	3,353	33,530.00			
19. Dennis G. Baguyo	Common	2,515	25,150.00	0.001%		
Filipino	Preferred	4,052	405.20	0.002%		
					25,555.20	
100 00	TOTAL	6,567	25,555.20			
20. Alesandra T. Ty ITF Adam Zachary T. Ty	Common	2,422	24,220.00	0.001%		
Filipino	Preferred	200	20.00	0.00%	9221,7277,000	
					24,240.00	
	TOTAL	2,622	24,240.00			
21. OTHERS (70 Stockholders)	Common	43,952	439,520.00	0.02%		
OTHERS (175 Stockholders)	Preferred	2,866,349	286,634.90	1.64%		
OTHERS (8 Stockholders)	Series A	37,100	3,710,000.00	0.76%	9,230,154.90	
OTHERS (12 Stockholders)	Series B	47,940	4,794,000.00	0.67%	1	
	TOTAL	2,995,341	9,230,154.90			
TOTAL AMOUN	IT OF SUBSCRIE	BED CAPITAL	3,370,275,870.00	100%	3.370	,275,870.00
		TOTAL AM	OUNT OF PAID-UP C	APITAL	3,370	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

STOCK CORPORATION

		- PLEA	SE PRINT LEGIBL	Y	=========	=======		
CORPORATE NAME:	GT Capital Holdings, Inc.							
1. INVESTMENT OF CORI	AMOUNT (	AMOUNT (PhP) DATE (			F BOARD RESOLUTION			
FUNDS IN ANOTHER C	ORPORATION							
1.1 STOCKS		Dec. 31,	2019 (Parent Compar	ny) 16	9, 332, 034, 787		Various	
1.2 BONDS/COMMER by Private Corpo			N/A			1, 4 10	N/A	
1.3 LOANS/ CREDITS			N/A				N/A	
1.4 GOVERNMENT T	REASURY BILLS		N/A		.,,		N/A	
1.5 OTHERS			N/A				N/A	
2. INVESTMENT OF COR SECONDARY PURPOSI		VITIES UN	IDER ITS		DATE OF BOARESOLUTIO	100000000000000000000000000000000000000	DATE OF STOCKHOLDERS RATIFICATION	
	None				None		None	
3. TREASURY SHARES			NO. OF SHAI	RES	% AS TO THE TOTAL NO. OF SHARES ISSUED			
	None			None			None	
4. UNRESTRICTED/UNA	PPROPRIATED RETAINE	D EARNII	NGS AS OF END	OF L	AST FISCAL YEA	R Php 12,75	51,595,692 (Parent)	
5. DIVIDENDS DECLARE	D DURING THE IMMEDIA	TELY PR	ECEDING YEAR:		· · · · · · · · · · · · · · · · · · ·	2019		
ТУРЕ	OF DIVIDEND		I	AMOUNT (PhP) DATE DECLARI				
5.1 CASH			1	,187	,555,397.00	March 26, 2019 & Nov. 26, 2019		
5,2 STOCK			13,395,482,520.00				26/03/2019	
5.3 PROPERTY		-						
	1	TOTAL	P		•			
6. ADDITIONAL SHARES	ISSUED DURING THE PE	RIOD: 2	019					
DATE	NO. OF	SHARES	3	AMOUNT				
01-Aug-19		17,003		13,395,482,520 (Market Price at Record Date)				
N/A		/A		N/A				
N/A		/A				N/A		
N/A		/A		<u> </u>		N/A		
SECONDARY LICENSE/RE		AND OTH						
NAME OF AGENCY:	SEC			B S P			I C	
TYPE OF	Certificate of Permit to Offer for Sale (Order # 09			N/A			N/A	
LICENSE/REGN. DATE ISSUED:	April 4, 2012	192)			,		N/A	
DATE STARTED	-				N/A N/A			
OPERATIONS:	July 26, 2007	7			)		N/A	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)			NO. OF OFFICERS TOTAL NO. OF FILE EMPL			TOTAL MANPOWER COMPLEMENT		
34.73 N	Million		<u>39</u>		<u>4</u>		43	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ANTONIO V. VIRAY, Corporate Secretary of GT CAPITAL HOLDINGS, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this Og day of October, 2020 in Makati City.

(Signature over printed name)

OCT (1 8 2021) by affiant who on SUBSCRIBED AND SWORN TO before me in \_\_\_ personally appeared before me and exhibited to me his competent evidence of identity consisting of Senior Citizens ID No. 1710897 issued at Office of Senior Citizens Affairs Quezon City on March 7, 2002.

**NOTARY PUBLIC** 

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SEVICES OF 2000.

ATTY. MELISSA B. REYES
Notary Public for Makati City unit December 31, 2020 Roll No. 41539 / Appointment No. M-120 IRP 054784 / PTR No. 6618489

45/F GT Tower International, Ayala Avenue Corner H.V. Dela Coste, Makati City

# GT CAPITAL HOLDINGS, INC. Significant Domestic Subsidiaries and Affiliates

Subsidiary/Affiliate	SEC Registration Number	Address
Toyota Motor Philippines Corporation	153869	Toyota Special Economic Zone, Santa Rosa- Tagaytay Highway, Santa Rosa City, Laguna
Philippine Axa Life Insurance Corporation	21938	34 <sup>th</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Metropolitan Bank & Trust Company	20573	Metrobank Plaza, Sen. Gil Puyat Avenue, 1200 Makati City
Federal Land, Inc.	A199706686	20 <sup>th</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Toyota Manila Bay Corporation	A1996-02434	Roxas Boulevard corner EDSA Extension, Boulevard 2000, Pasay City
Toyota Financial Services Philippines Corporation	CS200251691	32 <sup>nd</sup> Floor GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Metro Pacific Investments Corporation	CS200604494	10 <sup>th</sup> Floor MGO Building, Legazpi cor. Dela Rosa Streets, Legazpi Village. Makati City
GT Capital Auto Dealership Holdings, Inc.	CS201612315	43 <sup>rd</sup> Floor, GT Tower International, 6813 Ayala Avenue cor. H.V. Dela Costa St., Makati City
Sumisho Motor Finance Corporation	CS200917691	12 <sup>th</sup> Floor PSBANK Center, 777 Paseo de Roxas cor. Sedeño Street, 1226 Makati City