



103262018001581



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

The following document has been received:

**Receiving Officer/Encoder** : Donna Encarnado - COS

**Receiving Branch** : SEC Head Office

**Receipt Date and Time** : March 26, 2018 02:44:16 PM

**Received From** : Head Office

Company Representative

---

Doc Source

Company Information

---

SEC Registration No. CS200711792

Company Name GT CAPITAL HOLDINGS, INC.

Industry Classification Financial Holding Company Activities

Company Type Stock Corporation

### Document Information

---

---

Document ID 103262018001581

Document Type 17-C (FORM 11-C:CURRENT DISCL/RPT)

Document Code 17-C

Period Covered March 26, 2018

No. of Days Late 0

Department CFD

Remarks

# COVER SHEET

C S 2 0 0 7 1 1 7 9 2

S.E.C. Registration Number

G T C A P I T A L H O L D I N G S , I N C .

(Company's Full Name)

4 3 r d F L O O R , G T T O W E R I N T E R N A -  
T I O N A L A Y A L A A V E N U E C O R N E R H . V  
D E L A C O S T A S T R E E T M A K A T I C I T Y

(Business Address: No. Street City / Town Province)

Atty. Renee Lynn Miciano-Atienza

Contact Person

8 3 6 - 4 5 - 0 0

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

SEC Form 17-C

Form Type

Second Wednesday of May

Regular Meeting

Certificate of Permit to Offer Securities for Sale (Order #092)

Secondary License type, if applicable

M S R D

Dept. Requiring this Doc.

Amended Articles Number/Section

Total amount of Borrowings

70

Total No. of Stockholders

Domestic

Foreign

Foreign

Foreign

To be Accomplished by SEC Personnel concerned

File Number

File Number

LCU

Document I.D.

Document I.D.

Cashier

Remarks = Pls. use black ink for scanning purposes.

Remarks = Pls. use black ink for scanning purposes.

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. March 26, 2018  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **CS200711792** 3. BIR Tax Identification No. **006-806-867**
4. GT Capital Holdings, Inc.  
Exact name of issuer as specified in its charter
5. Philippines 6.  (SEC Use Only)  
Province, country or other jurisdiction of Industry Classification Code:  
incorporation
7. 43/F GT Tower International, 6813 Ayala Avenue corner H.V. Dela Costa Street, Makati City  
Postal Code: **1227**  
Address of principal office:
8. (632) 836-4500  
Issuer's telephone number, including area code
9. N/A  
Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Stock Outstanding	Amount of Debt Outstanding
Common	192,596,685	None
Corporate Retail Bonds	-	Php21.8 billion
Perpetual Preferred Shares (GTPPA)	4,839,240	None
Perpetual Preferred Shares (GTPPB)	7,160,760	None

11. Indicate the item numbers reported herein:

**Item 4. Resignation, Removal or Election of Registrant's Directors or Officers.**

**Mr. Leo Paul C. Maagma was appointed as Vice-President/Chief Audit Executive of GT Capital Holdings, Inc. effective April 16, 2018. Attached is Mr. Maagma's CV for reference.**

**SIGNATURE**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**GT Capital Holdings, Inc.**  
Issuer



**ATTY. RENEE LYNN MICIANO-ATIENZA**  
Vice-President  
Head, Legal and Compliance Department

Date: **March 26, 2018**

LEO PAUL C. MAAGMA

Personal Information

Address : Lot 6 Block 7, Santol corner Atis Street, Phase 6-F, Greenwoods  
Executive Village, Cainta Rizal  
Date of Birth : December 4, 1970  
Status : Married  
Health : Stated to be good

Academic and Educational Qualifications

Master's Degree in Business Administration  
Asian Institute of Management  
December 2015

Bachelor of Science in Commerce, Major in Accountancy  
University of Santo Tomas  
March 1992

Professional and Business Experience

Zuellig Pharma  
October 2003 - present

Corporate Internal Audit Manager  
June 2012 - present

Accounts Receivable Manager  
February 2010 - May 2012

Corporate Internal Audit Manager  
April 2007 - January 2010

Internal Audit Manager  
October 2003 - March 2007

Responsibilities:

- Mainly responsible for the internal audit function of Zuellig Pharma Corporation (ZPC) – Philippines and at the same time is responsible for conducting regional risk-based internal audits for Zuellig Pharma Group across 12 Countries within the Asia Pacific Region.

- The Internal Audit is part of the company's corporate governance system. The role is to help management evaluate internal controls, review compliance with Zuellig Pharma Group Policies and Procedures and perform risk assessment on business processes, identify issues and help develop solutions to mitigate the risk. At ZPC – Philippines, the Internal Audit function is not limited on auditing the financial side of the organization but covers areas of Sales, Distribution, Warehousing, MIS/IT and Branch Operations as well.
- The Internal Audit team is responsible for reviewing activities within the Head Office, 3 Distribution Centers, 2 Branches including several Satellite Offices and Platforms Nationwide. Also act as ZPC – Philippines' Compliance Officer responsible for ensuring that the Corporate's anti-bribery, anti-corruption (ABAC) initiatives through ZP Code of Conduct are carried out and is the point person for Due Diligence Questionnaires, ABAC audits and other compliance review requested by ZPC – Philippines' Clients.
- At the Corporate level, worked closely with the Audit and Risk Management team (based in Hong Kong) in developing the internal audit strategy and the risk-based regional internal audit program. Also helped conceptualize and develop tailored-made internal audit branding to include but not limited to the following – customized internal audit methodology, customized internal audit programs for key processes, internal audit working papers and internal audit reporting.

#### Unilever Bestfoods

Regional Audit Supervisor; Category Accounting Manager; and Treasury Manager  
July 1998 - October 2003

---

#### Responsibilities:

- Worked with Unilever Bestfoods (formerly Bestfoods, International) as the Regional Audit Supervisor responsible for the internal audit and advisory services of the 15 Asia Affiliates of Bestfoods in 12 countries namely: Philippines, Singapore, Malaysia, Thailand, China, Hong Kong, Taiwan, Indonesia, Vietnam, Pakistan, India and Sri Lanka.
- Acted as the Regional Coordinator of the Global Information Systems and Control Standards of Unilever Bestfoods. When Bestfoods, International was acquired by Unilever, was promoted and re-assigned to Unilever Bestfoods Finance Department, where, various key finance positions was held and was primarily involved in integrating the department and the corresponding departmental process and activities to that of Unilever. At the time, synergy savings expected out of the integration (allocated to Philippine business only) was at least US\$3.0mio.

Empire East Land Holdings, Inc.  
Senior Auditor  
February 1996 - July 1998

Ernst and Young, International  
Audit In-Charge  
July 1993 - January 1996

Accomplishments:

- Directed the review of key process at Zuellig Pharma both locally and at the Corporate level, where aside from ensuring adherence to set policy and determining that controls are in place and are effectively performing as planned, help identify risk that will have potential financial and/or operational implication. Depending on the process, potential impact of not less than US\$1.0B are evaluated.
- Identified and determined the key business issues behind the non-utilization of critical modules in SAP that supports the business strategy such as the Dispute Case Management in Accounts Receivable, Credit Scorecard under Credit Management, Advance Planning Optimization in Supply Chain Management.
- Lead several SAP pre-implementation activities such as validation of SAP Principal Reports as to its effectiveness and completeness, verify accuracy of the migrated data in SAP, among others.
- Central point person for Principal audit to ensure effective administration of the engagement, ensure timely reporting and completion of audit.
- Proposed improvements in monitoring of stocks no longer fit for commercial consumption (damaged and expired) with the objective of preventing possible exposure to liability in case these stocks are found circulating in trade.
- Among the proponent of the process rationalization in ZPC's Modern Trade accounts' delivery and acknowledgement procedures that usually cause delay in accounts collection of around 60 to 90 days on top of the payment term and an outstanding balances of not less than US\$155,000 (PhP7mio). Objective is to reduce the collectibles into half with the same manpower complement.
- Presented the monthly Management Reports pertaining to Accounts Receivable primarily used to monitor status of company's KPI relative to DSO, High Risk AR, Collection versus sales.